PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| | | Applic | ation Number | 10/701,857 | |
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| TRANSMITTAL FORM | | | Filing | Date | November 5, 2003 |
| | | | First N | lamed Inventor | David L. Adler |
| (to be used for all correspondence after initial filing) | | Art Un | it | not yet known | |
| | | | Exami | ner Name | not yet known |
| Total Number of Pages in | This Submission | 5 | Attorn | ey Docket Number | 10011.001110 (P0979) |
| | | ENCLO | SURES | (check all that apply) | |
| | | ment Papers Application) g(s) | | After Allowance Communication to Group | |
| ☐ Fee Attached | | Licensi | ng-relate | d Papers | Appeal Communication to Board of Appeals and Interferences |
| ☐ Amendment / Reply | | Petition | ı | | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| ☐ After Final | | | to Conv | | Proprietary Information |
| Affidavits/declara | ation(s) | | | ey, Revocation espondence Address | Status Letter |
| Extension of Time Request | | Termin | Terminal Disclaimer | | Other Enclosure(s) (please identify below): |
| Express Abandonment Request | | Request for Refund CD, Number of CD(s) | | | PTO Form SB-08a; Return receipt postcard |
| ☐ Information Disclosure Statement | | | | | |
| Certified Copy of Priority Document(s) | | Remar | ks | | |
| Response to Missing Parts/ Incomplete Application | | | | | |
| Response to Missing Parts under 37 CFR 1.52 or 1.53 | | | | | • |
| | SIGNA | TURE OF A | PPLICA | ANT, ATTORNEY, OF | RAGENT |
| Firm or Individual name James K. Okamoto, Reg No. 40,110 OKAMOTO & BENEDICTO LLP | | | | | |
| Signature Jank. Claum | | | | | |
| Date February 13, 2004 | | | | | |
| CERTIFICATE OF MAILING | | | | | |
| | ostage as first c | lass mail in | | | or deposited with the United States Postal mmissioner for Patents, P.O. Box 1450, |
| Typed or printed name | James K. Oka | moto | | | |
| | | | | | |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

February 13, 2004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

David L. Adler

Serial No. 10/701,857

Filed:

November 5, 2003

Title: High-Speed Inspection of Flat Substrate with Underlying Visible

Topology

Examiner: not yet known

Art Unit: not yet known

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Atty. Dkt. No.: 10011.001110 (P0979)

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Under 37 C.F.R. §1.56 and §§1.97-98

Sir:

Pursuant to the provisions of 37 CFR 1.56 and §§1.97-98, enclosed herewith is form PTO/SB/08 (substitute for form 1449A/PTO) listing references which may be material to the examination of this application, and with respect to which there may be a duty to disclose. The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

A copy of each listed reference is enclosed herewith, unless no such copy is required pursuant to 37 CFR § 1.98(d).

| This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior |
|---|
| application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the |
| references cited therein are hereby listed but are not required to be provided in |
| this application under 37 CFR § 1.98(d). |

Pursuant to 37 CFR §1.97, this Information Disclosure Statement is being submitted under the section indicated below.

| \boxtimes | 37 CFR §1.97(b). |
|-------------|--|
| | 37 CFR §1.97(c). If so, then either: Applicant certifies pursuant to 37 CFR § 1.97(e) that either: each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56 (c) more than three months prior to the filing of this Statement. |
| | OR Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p). |
| | 37 CFR § 1.97(d). If so, then both: □ Applicant certifies pursuant to 37 CFR § 1.97(e) that either: □ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more that three months prior to the filing of this Statement; OR no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement. |
| | AND Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p). |

Consideration of the listed references and favorable action are respectfully solicited.

Respectfully submitted,

David L. Adler

Dated: February 13, 2004

By:

James K. Okamoto

Attorney For Applicant(s)

Reg. No. 40,110

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| | · | CERTIFICATE OF MAILING | | |
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| deposited with the Commissioner for Pa Express Mail Mailin | United States Postents, P.O. Box 1 Number is fille | dence, including the enclosures tal Service as first class mail 450, Alexandria, VA 22313-1450 od in below, then this corresponds Mail Post Office to Addressee" | in an envel n the date ence is be: | lope addressed to: shown below. If the ing deposited with the |
| Typed or Printed Name: | James K. Ol | kamoto | Dated: | February 13,2004 |
| Express Mail Mail (optional): | ing Number | | | |

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An PSubstitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

| Complete if Known | | | | |
|------------------------|----------------------|---|--|--|
| Application Number | 10/701,857 | | | |
| Filing Date | November 5, 2003 | | | |
| First Named Inventor | David L. Adler | | | |
| Art Unit | not yet known | | | |
| Examiner Name | not yet known | | | |
| Attorney Docket Number | 10011.001110 (P0979) | _ | | |

| | U.S. PATENT DOCUMENTS | | | | | | |
|------------------------|-----------------------|---|--------------------------------|--|---|--|--|
| Examiner Initials * | Cite No.1 | Document Number Number - Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | | |
| | Α | US- 6,618,134 | Sept. 9, 2003 | Vaez-Iravani, et al. | 7 30 20 19 20 20 20 20 20 20 20 20 20 20 20 20 20 | | |
| | В | US- 6,636,302 | Oct. 21, 2003 | Nikoonahad, et al. | | | |
| | С | US- 6,673,637 | Jan. 6, 2004 | Wack, et al. | | | |
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| | FOREIGN PATENT DOCUMENTS | | | | | |
|--------------------|---|-----------------------------------|---|--|----------------|--|
| Examiner Cite No.1 | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | | |
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| Examiner Signature | Date Considered | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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